

Know Your Customer (KYC) Policy — SfiTrader

SfiTrader is currently in the process of registration and does not currently accept client funds or open live trading accounts. The KYC policy outlined here will be fully enforced once the company becomes licensed and starts accepting client funds.

1. Purpose

This KYC Policy establishes the procedures by which SfiTrader collects and verifies information about clients to comply with Anti-Money Laundering (AML) and Counter-Terrorism Financing (CTF) regulations.

2. Client Identification

All clients will be required to provide government-issued photo identification and proof of residential address before engaging in services that require identity verification.

3. Documentation Requirements

Acceptable identification documents include, but are not limited to, a valid passport, national identity card, or driver's license. Proof of address may include utility bills, bank statements, or government correspondence not older than three months.

4. Enhanced Due Diligence (EDD)

For clients categorized as higher risk, including politically exposed persons (PEPs), enhanced due diligence procedures will be applied.

5. Record Keeping

All identification documents and verification data will be securely maintained for a minimum of five years following the termination of the client relationship, in compliance with applicable laws.

6. Monitoring and Reporting

SfiTrader will monitor client transactions for unusual or suspicious activity and report such activities to the relevant authorities as required by law.

7. Confidentiality

Client information will be treated confidentially and will not be disclosed to third parties without the client's consent, unless required by law.

8. Policy Updates

This KYC Policy may be amended periodically to comply with changes in applicable regulations.

9. Contact Information

For any inquiries regarding this KYC Policy, please contact support@sfitrader.com.