

Anti-Money Laundering (AML) Policy — SfiTrader

SfiTrader is currently in the process of registration and does not currently accept client funds or open live trading accounts. The AML policy outlined here will be fully enforced once the company becomes licensed and starts accepting client funds.

1. Purpose

The purpose of this AML Policy is to prevent and mitigate possible risks of SfiTrader being involved in any kind of illegal activity, including money laundering and financing of terrorism.

2. Compliance with Laws

SfiTrader complies with applicable laws and regulations regarding Anti-Money Laundering (AML) and Counter-Terrorism Financing (CTF).

3. Customer Identification

Prior to establishing a business relationship, clients will be required to provide valid government-issued identification and proof of residential address.

4. Monitoring and Reporting

Transactions will be monitored for suspicious activities. Any transactions identified as suspicious will be reported to the relevant authorities as required by applicable laws.

5. Record Keeping

Client identification records and transaction data will be maintained for a minimum of five years after the termination of the business relationship.

6. Employee Training

Employees will receive AML training to recognize and handle activities and transactions that raise AML/CTF concerns.

7. Prohibited Activities

SfiTrader strictly prohibits the use of its services for money laundering, terrorist financing, or any other criminal activities.

8. Policy Updates

This AML Policy may be updated periodically to ensure compliance with evolving legal requirements.

9. Contact Information

For questions regarding this AML Policy, please contact: support@sfitrader.com.